#### **CDL POST HIRE DOCUMENTS**

# General Consent for Limited Queries of the Federal Motor Carrier Safety Administration (FMCSA) Drug and Alcohol Clearinghouse

| l,                       | · ·   |                               | ma Asphalt and Constru  |            |
|--------------------------|---|-------------------------------|-------------------------|------------|
| •                        | ery of the FMCSA Comme                                |                               | •                       | •          |
|                          | ermine whether drug or alonderstand that this consent |                               |                         | i the      |
| •                        | mission to check the Clear                            | •                             | -                       | uration of |
| my employment with th    |   | ŭ                             | , ,                     |            |
|                          | limited query conducted                               | •                             | •                       |            |
| •                        | Ilcohol violation information                         |                               | <del>-</del>            |            |
| additional specific cons | on to <b>Central Alabama Asp</b><br>sent from me      | onait and Construction        | n LLC WITHOUT HIST ODIA | ning       |
| additional specific cons | ene irom me.  |                               |                         |            |
| I further understand th  | at if I refuse to provide co                          | nsent for <b>Central Alah</b> | ama Asnhalt and Const   | truction   |
|                          | d query of the Clearinghou                            |                               | •                       |            |
|                          | performing safety-sensitiv                            |                               | •                       |            |
| vehicle, as required by  | FMCSA's drug and alcohol                              | program regulations.          | -                       |            |
|                          |   |                               |                         |            |
|                          |   |                               |                         |            |
| Print Employee Name      |   |                               |                         |            |
| {As it shows on driver's | license}  |                               |                         |            |
|                          |   | _                             |                         | _          |
| Employee Sigr            | l <mark>ature</mark>                                  |                               | <mark>Date</mark>       |            |
| Driver's License Numbe   | <mark>!r</mark>                                       | State                         |                         |            |
| Date of Birth /          | /   |                               |                         |            |

#### MOTOR VEHICLE RECORD RELEASE FORM

| This Section to be completed by the Driver   |  |            |            |
|--|--|------------|------------|
| Driver's Name  |  |            |            |
| Last Name  | First Name   |            | MI         |
| Date of Birth//  |  |            |            |
| Driver's License Number:   | Issuing State  | e          |            |
| <u>Employee Driving Record</u> - To be complete  | d by the Company Driver  |            |            |
| During the five years preceding the date of  | this application, have you:  |            |            |
| 1. Had your driver's license suspended   | or revoked?  | Yes        | No         |
| 2. Been cited for driving a vehicle unde   | r the influence of alcohol or drugs?   | Yes        | No         |
| <u>Driver Commitment</u>   |  |            |            |
| <ol> <li>Adhere to all policies and procedure</li> <li>Report unsafe operating conditions</li> <li>Report any accident immediately.</li> <li>Prohibit the use of company vehicle</li> <li>Prohibit unauthorized passengers from</li> </ol> | s governing the operation of my veh of the vehicle. by unauthorized drivers. |            | ΓΙΟΝS.     |
| I understand that these commitments and suffill these requirements may result in loss  |  |            | •          |
| The undersigned hereby authorizes any Stainformation pertaining to my driving record purposes. This authorization shall remain company.  | d to the company or its designee, to   | evaluate f | or driving |
| Driver's Signature:  |  |            |            |

#### General Consent for Queries of the Federal Motor Carrier Safety Administration (FMCSA) Drug and Alcohol Clearinghouse

| ,he  | ereby state that my personal e-mail address is:  |
|--|--|
|  |  |
|  | This email address is ( ) checked daily or is (  |
| Asphalt and Construction, LLC (CAA) permission to crepermission to CAA to create a Clearinghouse registration run any necessary Clearinghouse reports regarding my is checked regularly, daily or at least weekly, I may requisive email address by written notice. I also agree to respect to the contract of | Clearinghouse information regarding me, I give Central Alabama eate a CAA email address in my name, at no cost to me. I also grant ion in my name using the CAA email address. I hereby grant CAA to ydriver qualification status. If at any time I have an email address that uest the email address shown in the Clearinghouse be changed to espond to Query Reports in a positive manner for any request to run By my signature below, I hereby grant CAA permission to run limited er to run reports as necessary and required by FMCSA. |
| LLC to conduct a query of the Clearinghouse,   | e consent for Central Alabama Asphalt and Construction<br>Central Alabama Asphalt and Construction LLC must<br>functions, including driving a commercial motor<br>phol program regulations.  |
| Print Employee Name  | <del></del>  |
| {As it shows on driver's license}  |  |
| Employee Signature   | Date   |
| Driver's License Number  | State  |
| Date of Birth / /  |  |



## DRIVER EMPLOYMENT APPLICATION

## Central Alabama Asphalt and Construction LLC

3181 Fosters Ferry Road, Tuscaloosa, AL 35401 PO Box 20766, Tuscaloosa, AL 35402

(Answer **all** questions. Fill in **all** shaded areas – Please PRINT)

| n compliance weligion, sex, na | with Federal and State<br>ational origin, age, ma | equal employment opportunity laws, qurital status, or non-job related disability. | alified applicants are considered for all positions without regard  STARTING DATE AS DRIVER: | to race, colo |
|--------------------------------|---|---|--|---------------|
| Application Dat                | te:   |   | Hire Date:   |               |
| Position(s) App                |   |   | (To be completed by CAA if em  | ployed)       |
| rosition(s) App                | inca for.   |   |  |               |
| Name:                          | ast   | First   | Social Security No:  |               |
| L                              | ast   | FIISt   | IVII   |               |
| Date of Birth (R               | Required for Truck/Bu                             | s Drivers):   | Cell Phone:  |               |
| Email Addres                   | ss:   |   |  |               |
| Must list all add              | dresses for the past 3                            | years:  |  |               |
| Current Address                |   |   |  |               |
|                                | Street  |   | City City City City City City City City  |               |
|                                | State   | Phone:  | How Long?  |               |
|                                | State   | Zip Code  |  |               |
| Previous Addres                | ss:   |   |  |               |
|                                | Street  |   | City   |               |
|                                |   |   |  |               |
|                                |   | Phone:  | How Long?  |               |

Central Alabama Asphalt and Construction Company, LLC 3181 Fosters Ferry Road, 35401
PO Box 20766 Tuscaloosa, AL 35402
Page 1 of 17

#### MUST BE MONTH AND YEAR

#### EMPLOYMENT HISTORY

#### MUST BE 10 YEARS CONTINUOUS - NO GAPS - INCLUDE UNEMPLOYED DATES WITH REASON - INCLUDE EMPLOYERS WHEN NOT DRIVING

**ALL DRIVER APPLICANTS:** To drive in interstate commerce, you must provide the following information on all former/current employers (*driving positions and non-driving positions*) for the last 3 years. All information must be complete for your application to be considered.

ALL DRIVER APPLICANTS: To be employed as a driver of a commercial motor vehicle (any vehicle requiring a CDL License) in intrastate or interstate commerce, you must also provide <u>an additional</u> 7 years information on those employers for whom you worked as a driver operating a commercial motor vehicle. In other words, if you are going to drive a vehicle requiring a CDL, YOU MUST HAVE 10 YEARS working & driving experience (no gaps)

|                 | CURRENT OR LAST EMPLOYER  |                     | DATES   |
|-----------------|---|---------------------|---|
| Name:           |   | From:               | <mark>To</mark> :   |
| Address         | s:  | Position Held:      |   |
| City:           | State: Zip:   | Salary/Wage:        |   |
| Contact Person: | <mark>Phone</mark><br>No.   | Reason for leaving: |   |
|                 | Were you subject to DOT rules while employed with this company?  Yes / No | "safety-s           | mployed by this company, was your job designated as sensitive," making you subject to the DOT drug and alcohol equirements?  Yes / No |
|                 | CURRENT OR LAST EMPLOYER  |                     | DATES   |
| Name:           |   | From:               | <mark>To:</mark>  |
| Address         | <mark>s:</mark>   | Position Held:      |   |
| City:           | State: Zip:   | Salary/Wage:        |   |
| Contact Person: | Phone<br>No.  | Reason for leaving: |   |
|                 | Were you subject to DOT rules while employed with this company?  Yes / No | "safety-s           | mployed by this company, was your job designated as sensitive," making you subject to the DOT drug and alcohol equirements?  Yes / No |
|                 | CURRENT OR LAST EMPLOYER  |                     | DATES   |
| Name:           |   | From:               | To:   |
| Address         | s:  | Position Held:      |   |
| City:           | State: Zip:   | Salary/Wage:        |   |
| Contact Person: | <mark>Phone</mark><br>No.   | Reason for leaving: |   |
|                 | Were you subject to DOT rules while employed with this company?  Yes / No | "safety-s           | mployed by this company, was your job designated as sensitive," making you subject to the DOT drug and alcohol equirements?  Yes / No |
|                 | CURRENT OR LAST EMPLOYER  |                     | DATES   |
| Name:           |   | From:               | To:   |
| Address         | <mark>s:</mark>   | Position Held:      |   |
| City:           | State: Zip:   | Salary/Wage:        |   |
| Contact         | Phone   | Reason for          |   |

Were you subject to DOT rules while employed with this company?

Yes / No

While employed by this company, was your job designated as "safety-sensitive," making you subject to the DOT drug and alcohol testing requirements?

Yes / No

Please continue employment record on next page

DATES MUST INCLUDE MONTH AND YEAR - EXAMPLE: JUNE, 2023 TO JULY, 2024

#### **EMPLOYMENT HISTORY**

Note: If needed, make additional copies of this page to capture info regarding all employers during the past 10 years.

ALL DRIVER APPLICANTS: To drive in interstate commerce, you must provide the following information on all former/current employers (driving positions and non-driving positions) for the last 3 years. All information must be complete for your application to be

ALL DRIVER APPLICANTS: To be employed as a driver of a commercial motor vehicle (any vehicle requiring a CDL License) in intrastate or interstate commerce, you must also provide an additional 7 years information on those employers for whom you worked as a

| driver o           | perating a commercial motor vehicle.  her words, if you are going to drive a vehicle requiring a CDL, YOI | •                   |  |
|--------------------|---|---------------------|--|
|                    | CURRENT OR LAST EMPLOYER  |                     | DATES  |
| Name:              |   | From:               | <mark>To</mark> :  |
| Address            | s <mark>.</mark>  | Position Held:      |  |
| City:              | State: Zip:   | Salary/Wage:        |  |
| Contact Person:    | Phone<br>No.  | Reason for leaving: |  |
|                    | Were you subject to DOT rules while employed with this company?  Yes / No                                 | "safety-se          | nployed by this company, was your job designated as ensitive," making you subject to the DOT drug and alcohol equirements?  Yes / No |
|                    | CURRENT OR LAST EMPLOYER  |                     | DATES  |
| Name:              |   | From:               | To:  |
| Address            | <u>;</u>  | Position Held:      |  |
| City:              | State: Zip:   | Salary/Wage:        |  |
| Contact Person:    | Phone<br>No.  | Reason for leaving: |  |
|                    | Were you subject to DOT rules while employed with this company?  Yes / No                                 | "safety-se          | nployed by this company, was your job designated as ensitive," making you subject to the DOT drug and alcohol equirements?  Yes / No |
|                    | CURRENT OR LAST EMPLOYER  |                     | DATES  |
| Name:              |   | From:               | To:  |
| Address            | <u>;</u>  | Position Held:      |  |
| City:              | State: Zip:   | Salary/Wage:        |  |
| Contact Person:    | Phone<br>No.  | Reason for leaving: |  |
|                    | Were you subject to DOT rules while employed with this company?  Yes / No                                 | "safety-se          | nployed by this company, was your job designated as ensitive," making you subject to the DOT drug and alcohol equirements?  Yes / No |
|                    | CURRENT OR LAST EMPLOYER  |                     | DATES  |
| Name:              |   | From:               | To:  |
| Address            | <u></u>   | Position Held:      |  |
| City:              | State: Zip:   | Salary/Wage:        |  |
| Contact<br>Person: | Phone<br>No.  | Reason for leaving: |  |
|                    | Were you subject to DOT rules while employed with this company?   |                     | nployed by this company, was your job designated as ensitive," making you subject to the DOT drug and alcohol                        |

Yes / No

testing requirements?

Yes / No

#### **EMPLOYMENT HISTORY**

Note: If needed, make additional copies of this page to capture info regarding all employers during the past 10 years.

ALL DRIVER APPLICANTS: To drive in interstate commerce, you must provide the following information on all former/current employers (driving positions and non-driving positions) for the last 3 years. All information must be complete for your application to be

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| Th other   | r words, if you are going to drive a vehicle requiring a CDL <u>, YO</u> I                                     | UNIUSI HAVE   | 10 VE ADS working & driving experience (no gone)   |
|--|--|---|--|
|  | CURRENT OR LACT EN INCOME  |   |  |
|  | CURRENT OR LAST EMPLOYER   |   | <b>DATES</b>   |
| Name:  |  | From:   | <mark>To</mark> :  |
| Address:   |  | Position Held:  |  |
| City:  | State: Zip:  | Salary/Wage:  |  |
| Contact Person:                                  | Phone<br>No.   | Reason for leaving:   |  |
| W  | Vere you subject to DOT rules while employed with this company?  | "safety-se  | aployed by this company, was your job designated as ensitive," making you subject to the DOT drug and alcohol quirements?                        |
|  | Yes / No   | testing re-   | Yes / No   |
|  | CURRENT OR LAST EMPLOYER   |   | DATES  |
| Name:  |  | From:   | To:  |
| Address:   |  | Position Held:  |  |
| City:  | State: Zip:  | Salary/Wage:  |  |
| Contact<br>Person:                               | <mark>Phone</mark><br>No.  | Reason for leaving:   |  |
| W  | Vere you subject to DOT rules while employed with this company?  |   | aployed by this company, was your job designated as ensitive," making you subject to the DOT drug and alcohol                                    |
|  | Yes / No   |   | quirements?  |
|  |  |   | Yes / No   |
|  | CURRENT OR LAST EMPLOYER   |   |  |
|  |  |   | DATES  |
| Name:  |  | From:   | To:  |
| Name: Address:                                   |  | From: Position Held:  |  |
| Address: City:                                   | State: Zip:  |   |  |
| Address:   | State: Zip:  Phone No.   | Position Held:  |  |
| Address: City: Contact Person:                   | Phone  | Position Held: Salary/Wage: Reason for leaving: While em  | To:  |
| Address: City: Contact Person:                   | Phone No.  Vere you subject to DOT rules while employed with this company?                                     | Position Held: Salary/Wage: Reason for leaving: While em  | To:  |
| Address: City: Contact Person:                   | Phone<br>No.   | Position Held: Salary/Wage: Reason for leaving: While em  | aployed by this company, was your job designated as ensitive," making you subject to the DOT drug and alcohol                                    |
| Address: City: Contact Person:                   | Phone No.  Vere you subject to DOT rules while employed with this company?                                     | Position Held: Salary/Wage: Reason for leaving: While em  | aployed by this company, was your job designated as ensitive," making you subject to the DOT drug and alcohol quirements?                        |
| Address: City: Contact Person:                   | Phone No.  Were you subject to DOT rules while employed with this company?  Yes / No                           | Position Held: Salary/Wage: Reason for leaving: While em  | aployed by this company, was your job designated as ensitive," making you subject to the DOT drug and alcohol quirements?  Yes / No              |
| Address: City: Contact Person: W                 | Phone No.  Were you subject to DOT rules while employed with this company?  Yes / No                           | Position Held: Salary/Wage: Reason for leaving: While em "safety-se testing re                                    | To:  apployed by this company, was your job designated as ensitive," making you subject to the DOT drug and alcohol quirements?  Yes / No  DATES |
| Address: City: Contact Person: W                 | Phone No.  Were you subject to DOT rules while employed with this company?  Yes / No                           | Position Held: Salary/Wage: Reason for leaving: While em "safety-se testing re  From: Position Held: Salary/Wage: | To:  apployed by this company, was your job designated as ensitive," making you subject to the DOT drug and alcohol quirements?  Yes / No  DATES |
| Address: City: Contact Person: W  Name: Address: | Phone No.  Were you subject to DOT rules while employed with this company?  Yes / No  CURRENT OR LAST EMPLOYER | Position Held: Salary/Wage: Reason for leaving: While em "safety-se testing re                                    | To:  apployed by this company, was your job designated as ensitive," making you subject to the DOT drug and alcohol quirements?  Yes / No  DATES |

Yes / No

testing requirements?

Yes / No

## IF THERE ARE ANY GAPS IN EMPLOYMENT OVER 30 DAYS THIS FORM MUST BE COMPLETED TO EXPLAIN THE GAP

## AFFIDAVIT FOR GAPS IN EMPLOYMENT HISTORY

I have submitted an application for employment with *Central Alabama Asphalt and Construction Company, LLC* under the requirements of 49 CFR, Part 391.21. *Central Alabama Asphalt and Construction Company, LLC* has reviewed my employment history and has requested that I explain the time gaps in my employment.

| and has requested that I explain the time gaps in my employment.   |
|--|
| Below, I have listed the timeframes that are considered "gaps" in my employment. I have provided an explanation of these employment gaps that are in excess of 30 days in length, and certify that the information I have provided is true and accurate.   |
|  |
| <del> </del>   |
|  |
|  |
|  |
|  |
|  |
|  |
| By signing below, I certify that the above information is true and accurate, and realize that <i>Central Alabama Asphalt and Construction Company, LLC</i> will rely on the information provided as part of the decision to hire me. <i>Central Alabama Asphalt and Construction Company, LLC</i> reserves the right to deny employment for providing false information on this form, as this form was designed to supplement the DOT requirements for the verification of a driver's work history as required by the DOT rules outlines in 49 CFR, Part 391.23. |

(Date)

(Driver Name)

#### EXPERIENCE AND QUALIFICATIONS OF DRIVER APPLICANT

ACCIDENT RECORD FOR THE PAST <u>7 YEARS</u>:

If none, write "none". Attach additional sheets if more space is required.

| DATES         | NATURE OF ACCIDENT (HEAD-ON; REAR-END; UPSET, JACK-KNIFE, ETC.) | FATALITIES | INJURIES | CHARGEABLE | √ If You Can Provide Documentation |
|---------------|---|------------|----------|------------|------------------------------------|
| Last Accident |   | YES NO     | YES NO   | YES NO     |                                    |
| Next Previous |   | YES NO     | YES NO   | YES NO     |                                    |
| Next Previous |   | YES NO     | YES NO   | YES NO     |                                    |
| Next Previous |   | YES NO     | YES NO   | YES NO     |                                    |

TRAFFIC CONVICTIONS AND LICENSE FORFEITURES FOR THE LAST <u>7 YEARS</u>, (OTHER THAN PARKING VIOLATIONS). If none, write "none", (attach additional sheets if more space is required).

| LOCATIONS | DATE | CHARGE | PENALTY |
|-----------|------|--------|---------|
|           |      |        |         |
|           |      |        |         |
|           |      |        |         |
|           |      |        |         |

| DRIVERS         | STATE | LICENSE No. | ТҮРЕ | EXPIRATION<br>DATE |
|-----------------|-------|-------------|------|--------------------|
|                 |       |             |      |                    |
| <u>LICENSES</u> |       |             |      |                    |

| Have you ever been denied a license, permit, or privilege to operate a motor vehicle? | YES | NO |
|---|-----|----|
| Have you ever had any license, permit or privilege suspended or revoked?              | YES | NO |
| IF THE ANSWER TO EITHER OF THE ABOVE TWO QUESTIONS IS "YES", GIVE THE DETAILS.        |     |    |
|   |     |    |
|   |     |    |
|   |     |    |

| CLASS OF EQUIPMENT | TYPE OF EQUIPMENT                        | AMOUNT OF EXPERIENCE |
|--------------------|--|----------------------|
|                    | CONTRACTOR'S DUMP                        |                      |
|                    | REGULAR DUMP TRUCK                       |                      |
|                    | TANKER                                   |                      |
|                    | WRECKER                                  |                      |
|                    | FLAT BED                                 |                      |
|                    | VAN                                      |                      |
| STRAIGHT TRUCK     | REEFER                                   |                      |
|                    | CEMENT TRUCK                             |                      |
|                    | BOOM TRUCK                               |                      |
|                    | SERVICE TRUCK                            |                      |
|                    | STRAIGHT TRUCK<br>PULLING TRAILER        |                      |
|                    | VAC TRUCK                                |                      |
|                    | NON-HEATED,<br>NON-REFRIGERATED,         |                      |
|                    | LIQUID TANKER REFRIGERATED TANKER        |                      |
|                    | HEATED TANKER                            |                      |
|                    | DRY BULK TANKER                          |                      |
|                    | OPEN DUMP TRAILER                        |                      |
| TRACTOR TRAILER    | FLAT BED                                 |                      |
|                    | REEFER                                   |                      |
|                    | VAN                                      |                      |
|                    | CAR CARRIER                              |                      |
|                    | DOUBLES                                  |                      |
|                    | TRIPLES                                  |                      |
|                    | STRAIGHT BUS<br>(SCHOOL BUS, CHURCH BUS) |                      |
| DUODO              | STRAIGHT COMMERCIAL                      |                      |
| BUSES              | BUS<br>DOUBLE                            |                      |
|                    | TRIPLE                                   |                      |
| OTHER NOT LISTED   |  |                      |



## Central Alabama Asphalt and Construction LLC 3181 Fosters Ferry Road, Tuscaloosa, AL 35401 PO Box 20766, Tuscaloosa, AL 35402

#### TO BE READ AND SIGNED BY APPLICANT

This certifies that this application was completed by me, and that all entries on it and the information contained in this application, are true and complete to the best of my knowledge.

I authorize **Central Alabama Asphalt and Construction LLC** to make such investigations and inquiries of my personal, employment, driving, financial, medical, Drug and Alcohol Testing history and other related matters as may be necessary in arriving at an employment decision. (Generally, inquiries regarding medical, drug testing and alcohol testing history will be made only if and after a conditional offer of employment has been extended.). I hereby release former employers, schools, health care providers and other persons from all liability in responding to inquiries and releasing information in connection with my application.

In the event of employment, I further understand that any false or misleading information given in either my application or in interview(s) may result in discharge. I understand, also, that I will be required by **Central Alabama Asphalt and Construction LLC** to abide by all the rules and regulations of the company and any Federal/state agency. This includes all mandatory safety meetings/training meetings.

| Applicant's Signature | Date |
|-----------------------|------|

## Central Alabama Asphalt and Construction LLC Driver Safety Performance History Records/Information Request Authorization (Page 1 of 3)

The Federal Motor Carrier Safety Administration rules outlined in 49 CFR Part 391.23 require that information regarding my Safety Performance History be provided to prospective employers for the preceding three (3) years. This record is my official request for the documentation to be released on behalf of my prospective employer:

| To:   | Previous Employer  |
|-------|--|
|       | Address  |
|       | City, State & Zip  |
|       | Contact Phone Contact Fax  |
| From: | Applicant  |
|       | Social Security Number   |
|       | Address  |
|       | City, State & Zip  |
|       | Contact Phone Number   |
| Ι, 1  | end the Information to the address below within five (5) business days via MAIL the driver applicant, will arrange to PICK UP the information within 30 days ease FAX the information to the number provided below within five (5) business days |
|       | Information should be sent to the following:   |
|       | Transportation Safety Services 27540 World Court, Suite A Daphne, AL 36526 Phone: (251) 661-9700 Fax: (251) 661-9667   |
|       |  |
|       | Signature of Applicant Date  |

### **Central Alabama Asphalt and Construction LLC**

## Driver Safety Performance History Information Request (Page 2 of 3)

In accordance with the requirements of the Federal Motor Carrier Safety Administration rules outlined in 49 CFR Part 391, 382, and 40, please provide the following information regarding my Safety Performance History.

| <b>Driver</b> | Name:               |                     |                    | _                                       |               |          |
|---------------|---------------------|---------------------|--------------------|---|---------------|----------|
| Dates         | of Employment:      | From                | (MO/YR)            | То                                      | (MO/YR)       |          |
| Did ap        | plicant drive a co  | ommercial vehicl    | e while employe    | ed by you? Yes                          | or No (Circle | e one)   |
| Type o        | f vehicle driven:   | Straight Truck /    | Tractor-Semi-t     | cailer / Other                          | (Cir          | cle one) |
| Type o        | f trailer pulled: \ | Vans / Reefer / F   | latbed / Tanker    | / Not applicable                        | (circle one)  |          |
| Length        | of Trailer pulled   | l (if applicable) _ |                    | (FT)                                    |               |          |
| How n         | nany states did th  | e applicant drive   | e in?              | (estimate)                              |               |          |
| Reason        | n for leaving your  | employment: (       | Circle one or more | , as appropriate)                       |               |          |
| Res           | signation           | Lay Of              | f                  | Military Duty                           |               |          |
| Volu          | ntarily Quit \      | violation of Com    | pany Policy        | Discharge                               |               |          |
| Reason        | n for discharge: _  |                     |                    |   |               | -        |
| Is appl       | icant eligible for  | rehire? Yes         | s or N             | O (circle one)                          |               |          |
|               |                     |                     | =                  | 49 CFR Part 390.<br>iod of three (3) ye |               | e over   |
| Date          | Location            | Tyj                 | pe Of Accident     | Injuries?                               | Fatalities?   | Towed?   |
|               |                     |                     |                    |   |               |          |
|               |                     |                     |                    |   |               |          |

#### Drug and Alcohol History for Prior Three (3) Years

Please provide any information for the applicant while in your employ or that you have obtained from previous employers under the requirements of 49 CFR Part 391.

- 1. Has applicant refused alcohol or drug testing required by DOT rules? Yes or No (circle one)
- 2. Has applicant tested positive, adulterated, or substituted a drug testing specimen while employed by your Company?

  Yes or No (circle one)

### **Central Alabama Asphalt and Construction LLC**

## Driver Safety Performance History Information Request (Page 3 of 3)

- 3. Has applicant had an alcohol test result of >.04 during your employ? Yes or No (circle one)
- 4. If yes to #2 or #3 above, was the applicant referred for SAP evaluation? Yes or No (circle one)

  Do you know if SAP program has been successfully completed? Yes No Not Sure (circle one)
- 5. Has applicant committed other violations of DOT drug or alcohol testing rules of which you are aware? Yes or No (circle one)

You are hereby authorized to provide all information regarding my services, safety performance, drug and alcohol testing history, character and conduct to the entity authorized. You are released from any liability arising from the release of this information under the requirements of 49 CFR Part 391 that became effective 10/30/2004

| 10/30/2004.  |                                   |                  |             |
|--|-----------------------------------|------------------|-------------|
| Signature of Applicant   | Social Security Number            | e <mark>r</mark> | <u>Date</u> |
| Print Driver Name  | -                                 |                  |             |
| Previous Employer Safety Perform   | mance History provided by:        |                  |             |
| Company Name   |                                   |                  |             |
| Address  |                                   |                  |             |
| City, State & Zip  |                                   |                  |             |
| Phone  | Fax                               |                  |             |
| Information provided by  |                                   |                  |             |
|  | (Please print name legibly        | y)               |             |
| I provided the above information   | was in the following manner:      | (check one)      |             |
| I sent the information within five (5)                                       | business days of receipt via MAIL |                  |             |
| I provided a copy of this information who <b>PICKED UP</b> the information p |                                   |                  |             |
| I <b>FAXED</b> the information within five                                   | e (5) business days of receipt    |                  |             |
|  |                                   |                  |             |

#### Central Alabama Asphalt and Construction LLC Driver Safety Performance History Applicant Rights

The Federal Motor Carrier Safety Administration promulgated rules to change the driver background check verifications required in 49 CFR Part 391 effective October 30, 2004. Under the new requirements **Central Alabama Asphalt and Construction LLC** is required to contact your previous employers for three (3) years previous to the date of your application for employment to verify certain specific safety information and records.

The information we will be requesting will include personal work history, accident involvement history, and the drug and alcohol testing history that they have on record. We will be reviewing information related to the time you were employed with each previous employer, and any information compiled by them as they performed Driver Safety Performance History checks as well.

As an applicant for a driving position, you have certain specific rights relating to the information that **Central Alabama Asphalt and Construction LLC** receives from your previous employer. These rights include:

- The right to review the information provided to Central Alabama Asphalt and Construction LLC by your previous employers, whether you listed the employers specifically on your application for employment or not.
- 2. The right to have any errors in the information provided to **Central Alabama Asphalt and Construction LLC** corrected by a previous employer and to request that they submit corrected information.
- 3. The right to have a rebuttal statement attached to alleged erroneous information in such instance that you are not in agreement with the information provided to **Central Alabama Asphalt and Construction LLC** by a previous employer.
- 4. The right to review the information within provided to **Central Alabama Asphalt and Construction LLC** within 30 days of employment (or within 30 days from the date that employment is denied based on information received) **Central Alabama Asphalt and Construction LLC** will provide such information to you upon receipt of your written request within five (5) business days.

I certify that I am a driver applicant and that I have read and understand my rights as prescribed by 49 CFR Part 391.

| Driver's Signature | <b>Date</b> |
|--------------------|-------------|



Daphne, Alabama 36526 Phone: 251-661-9700

| х  | Please Check the Following:                      |
|----|--|
|    | MVR Only - For annual review or other            |
|    |  |
| ХХ | MVR - For New Hire – includes:                   |
|    | SSN Check  |
|    | CDLIS Check                                      |
|    | Transportation Employment History w/ Drug Screen |
|    |  |
|    | HAZMAT Package (MVR/SSN/CDLISS/DAC/Widescreen)   |

#### **Employee MVR Request Consent**

| Employee Name:     |         |        |       |     |
|--------------------|---------|--------|-------|-----|
| Address:           |         |        |       |     |
|                    |         | Street |       |     |
| •                  | City    |        | State | Zip |
| Social Security N  | umber:  |        |       | _   |
| Date of Birth:     |         |        |       | _   |
| Driver's License I | Number: |        |       | _   |
| Driver's License S | State:  |        |       | _   |
| Driver's Signature | e:      |        |       | _   |

I certify that the above named employee is either a current employee or is a current applicant being considered for employment. In accordance with DOT's security requirements, I am requesting that the appropriate checks be run to ensure the above named individual is in compliance with the applicable requirements.

I certify that we have obtained the required permission from the above named employee to obtain this record.

| Requesting Employer: | Central Alabama Asphalt and Construction Company, LLC |
|----------------------|---|
| Person Requesting:   | Company Representative Signature                      |

Fax this request to (251) 661-9667

(This is a secure fax line.)

EMAIL TO: leah@centralalabamaasphalt.com

Central Alabama Asphalt and Construction Company, LLC 3181 Fosters Ferry Road, 35401 PO Box 20766 Tuscaloosa, AL 35402

# CERTIFICATION OF COMPLIANCE WITH DRIVER LICENSE REQUIREMENTS

MOTOR CARRIER INSTRUCTIONS: The requirement in Part 383 applies to every driver who operates in intrastate, interstate, or foreign commerce and operates a vehicle weighing 26,001 pounds or more, can transport more than 15 people, or transports hazardous materials that require placards.

The requirements in Part 391 apply to every driver who operates in interstate commerce and operates a vehicle weighing 10,001 pounds or more, can transport more than 15 people, or transports hazardous materials that require placards.

Driver Requirements: Parts 383 and 391 of the Federal Motor Carrier Safety Regulations contain some requirements that you as a driver must comply with. These requirements are in effect as of July 1, 1987. They are as follows:

1. POSSESS ONLY ONE LICENSE: You, as a commercial vehicle driver, may not possess more than one motor vehicle operator's license.

If you have more that one license, keep the license from your state or residence and return the additional licenses to the states that issued them. DESTROYING a license does not close the record in the state that issued it; you must notify the state. If multiple licenses have been lost, stolen, or destroyed, close your record by notifying the state of issuance that you no longer want to be licensed by that state.

2. NOTIFICATION OF LICENSE SUSPENSION, REVOCATION OR CANCELLATION:

Sections 392.42 and 383.33, of the Federal Motor Carrier Safety Regulations require that you notify your EMPLOYER the NEXT BUSINESS DAY of any revocation or suspension of your driver's license. In addition, Section 383.31 requires that any time you violate a state or local traffic law (other than parking), you must report it within 30 days to: (1) your employing motor carrier, and (2) the state that issued your license (if the violation occurs in other that the one which issued your license). The notification to both the employer and state must be in writing.

| The following license is the only of | ne I will possess:       |                                   |
|--------------------------------------|--------------------------|-----------------------------------|
| Driver's License No.                 | State                    | Exp. Date                         |
| DRIVER CERTIFICATION: I cert         | ify that I have read and | understood the above requirements |
| Driver's Name (Print):               |                          |                                   |
| Driver's Signature:                  |                          | Date:                             |
| Notes:                               |                          |                                   |

#### PRECEDING 7 DAYS DRIVER DUTY STATUS

INSTRUCTIONS: Motor carriers when using a driver for the first time shall obtain from the driver a signed statement giving the total time on-duty during the immediately preceding 7 days and time at which such driver was last relieved from duty prior to beginning work for such carrier. Rule 395.8 (J) (2) Federal Motor Carrier Safety Regulations, NOTE: Hours for any compensated work during the preceding 7 days, including work for a non-motor carrier entity, must be recorded on this form.

| Driver Name (                                     | ( <mark>Print)</mark>                            |   |  |   |                                     |                                       |                                     |  |
|---|--|---|--|---|-------------------------------------|---------------------------------------|-------------------------------------|--|
| Social Security                                   | y Number _                                       |   |  |   |                                     |                                       |                                     |  |
| Driver's Licen                                    | ıse: State                                       | Nu  | mber   |   |                                     |                                       | Class                               | Endorsement(s)   |
| Type of Licens                                    | se   |   |  |   | Res                                 | triction(                             | <mark>s)</mark>                     |  |
| DAY   | 1<br>(yesterday)                                 | 2   | 3  | 4                                       | 5                                   | 6                                     | 7                                   | TO BE COMPLETED ONLY IF HIRE DATE AND HOURS WORKED WILL COMPLETED AT THAT TIME.  |
| DATE  |  |   |  |   |                                     |                                       |                                     |  |
| HOURS<br>WORKED                                   |  |   |  |   |                                     |                                       |                                     | TOTAL HOURS  |
| I hereby certif                                   | fy that the in                                   | nformati                                      | on given   | above is                                | correct                             | to the be                             | est of my                           | y knowledge and belief.  SIGN ONLY   |
| Driver's Signature                                |  |   |  |   |                                     | <b>Date</b>                           |                                     |  |
| INSTRUCTION<br>working for oth<br>Motor Carrier S | er employers.<br>Safety Regula<br>ontract or pri | ployed by<br>The definations inclusivate moto | a motor on the state of the sta | carrier, a c<br>on-duty ti<br>performin | driver mu<br>me found<br>ng any otl | st report<br>in Section<br>ier work i | to the ca<br>1 395.2 p<br>in the ca | Karrier all on-duty time including ting aragraphs (8) and (9) of the Feder pacity of, or in the employ or serving the for any non-motor carrier entity |
| At this time do yo                                | ou intend to w                                   |   |  | loyer while                             | e still emp                         | loyed                                 |                                     | YES / NO   |
|   | ng for any ac                                    |   |  |   |                                     |                                       |                                     | become employed with this compar<br>m this company immediately of su   |
| Drive   | er's Signature                                   |   |  |   |                                     | Date                                  |                                     | — SIGN ONLY  |
| Company Re  | presentative Si                                  | ignature                                      |  |   |                                     | Date                                  |                                     | _  |

#### EMPLOYEE ALCOHOL AND DRUG STATEMENT

Section 40.25(j) As the employer, you must also ask the employee whether he or she has tested positive, or refused to test, on any pre-employment drug or alcohol test administered by an employer to which the employee applied for, but did not obtain, safety-sensitive transportation work covered by DOT agency drug and alcohol testing rules during the past two years. If the employee admits that he or she had a positive test or a refusal to test, you must not use the employee to perform safety-sensitive functions for you, until and unless the employee documents successful completion of the return-to-duty process. (See Section 40.25(b) (5) and (e))

# Central Alabama Asphalt and Construction LLC 3181 Fosters Ferry Road, Tuscaloosa, AL 35401 PO Box 20766, Tuscaloosa, AL 35402

| Employee Name.          |                  |                 |   | <del>_</del>          |
|-------------------------|------------------|-----------------|---|-----------------------|
| Social Security Numbe   | r:               |                 |   | _                     |
| The employee is require | ed by Section 40 | ).25 to respond | to the following question:  |                       |
| administered by an      | n employer to    | which you app   | on any pre-employment dolied for, but did not obtained alcohol testing rules in | ain, safety-sensitive |
| Circle One:             | YES              | NO              |   |                       |
| Employee Signature:     |                  |                 | <mark>Date</mark> :   |                       |

Employee Name:

# Drug and Alcohol Testing Policy Central Alabama Asphalt and Construction Company, LLC 3181 Fosters Ferry Road, Tuscaloosa, AL 35401 PO Box 20766, Tuscaloosa, AL 35402

This is the Company's official statement of drug and alcohol policy for all its Employees. Knowing how the use of these substances can affect the ability to operate a motor vehicle safely, we are implementing this policy to ensure that we are in compliance with the drug and alcohol testing requirements enacted by the DOT and listed in 49 CFR Parts 382 and 40. We are committed to provide a safe environment for each of our Employees and the motoring public.

To outline the DOT mandated situations under which you are required to be tested, we have listed the type of drug and alcohol tests that will be required of our Employees. These tests will be administered when the Employee is performing a safety sensitive function, generally defined as all time required to be logged as "on duty or driving" time on a Employee daily log. The tests will either be administered while such duties are being performed, just before they are to be performed, or just after these duties have been completed. "Just before" or "just after" is defined as no longer than one hour. The tests will be administered and processed in accordance with the requirements of 49 CFR Part 40.

PRE-EMPLOYMENT (Drug only) – All CDL Drivers will be expected to submit to a pre-hire/pre-use drug test, the results of which must be obtained before the CDL Driver can be utilized the first time. CDL Drivers failing this type of drug test are not qualified to be hired by the Company.

RANDOM (Drug and Alcohol) – All Employees will continually be subject to DOT random testing after hire and throughout employment with the Company. Testing administered will be spread throughout the year and unannounced, selected by a scientifically valid method from a pool of all CDL Drivers. The Company (or its designee) will administer enough tests to the CDL Driver pool to ensure compliance with the minimum DOT requirements.

POST-ACCIDENT (Drug and Alcohol) – After any Employee is involved in an accident, the Company reserves the right to administer a drug test to each involved Employee, without regard to fault, within 32 hours of the time the crash occurred. An alcohol test will also be obtained within eight hours of an accident, preferably in the first two hours.

REASONABLE SUSPICION (Drug and Alcohol) – At any time the Company management notices indications of the use of drugs or abuse of alcohol by one of its CDL Drivers or Employees, which are contemporaneous and able to be articulated, the Employee will be required to submit for testing.

WHAT IS A SAFETY-SENSITIVE FUNCTION? Safety-sensitive function means all time from the time a CDL Driver or Employee begins to work or is required to be in readiness to work until the time he or she is relieved from work and all responsibilities for performing work.

SAFETY-SENSITIVE FUNCTIONS INCLUDE: All time at an Employer or shipper plant, terminal, facility, or other property, or on an public property, waiting to be dispatched, unless the CDL Driver has been relived from duty by the Employer; All time inspecting equipment as required by Sec. 392.7 and Sec. 392.8 or otherwise inspecting, servicing, or conditioning any commercial motor vehicle at any time; All time spent at the driving controls of a commercial motor vehicle in operation; All time other than the driving time, in or upon any commercial motor vehicle except time resting in a sleeper berth; All time loading or unloading a commercial motor vehicle; supervising or assisting in the loading or unloading; attending a commercial motor vehicle being loaded or unloaded; remaining in readiness to operate the commercial motor vehicle; or in giving or receiving receipts for shipments loaded or unloaded; and All time repairing, obtaining assistance, or remaining in attendance upon a disabled commercial motor vehicle.

All CDL Drivers who are required to possess a commercial Driver's License, or CDL, under the requirement of 49 CFR Part 383, are required to be tested for the presence of drug and alcohol. Upon notification of a required test, the CDL Driver **shall proceed immediately to the testing facility.** Failure to do so will be considered a refusal to submit to testing, which DOT treats the same as a POSITIVE test result.

There are significant consequences for submitting a test reported back as "POSITIVE" for drugs or alcohol, or refusing to be tested when required. Consequences for this type result are termination of employment, referral to a substance use evaluation facility, and release of the testing information to subsequent employers requesting such. Though DOT does not require termination of employment violations of Part 382, most employers choose to sever ties with the violating CDL Driver or Employee. DOT does require that Employee be evaluated and receive treatment (as suggested by a substance professional) for substance problems. We are also required to release this information to your subsequent employers that request it of us.

Record keeping for drug and alcohol testing issues is typically maintained for a period of five (5) years, as required by the USDOT. If we are requested by another Employer, to provide drug or alcohol testing information for a current or former Employee of the Company, records for the two (2) years previous to application for employment with the other Employer will be provided, upon presentation of CDL Drivers release for such information. This is in compliance with the requirements of 49 CFR parts 382.

# Drug and Alcohol Testing Policy Central Alabama Asphalt and Construction Company, LLC 3181 Fosters Ferry Road, Tuscaloosa, AL 35401 PO Box 20766, Tuscaloosa, AL 35402

The use of drugs and alcohol can have a significant impact on your health as our Employee and on the safety of the motoring public. As a responsible member of the public using our nation's highways, we will implement this policy as we strive to maximize the safety of our highways. If any questions regarding this policy arise, please do not hesitate to contact me for clarification.

The following personal information shall be reported to the Clearinghouse: 1. A verified positive, adulterated, or substituted drug test result; 2. An alcohol confirmation test with a concentration of 0.04 or higher; 3. A refusal to submit to any required test outlined above; 4. An employer's report of actual knowledge of a) On duty alcohol use, b) Pre-duty alcohol use, c) Alcohol use following an accident, d) Controlled substance use; 5. A substance abuse professional (SAP) report of the successful completion of the return-to-duty process; 6. A negative return-to-duty test; and 7. An employer's report of completion of follow-up testing.

382.601 (b)(1) MARCUS BARR is the designated employment representative to answer questions for Central Alabama Asphalt and Construction Company, LLC as part of our continuing policy to ensure fair and equal treatment of our CDL Drivers, we understand that there may be questions and concerns involving our controlled substance and alcohol testing programs. To assist our CDL Drivers in understanding the requirements placed on both the CDL Drivers and the Company please call 205 349-0910.

| I have reviewed this copy and understand it of tests, listed above, will periodically be rec | ts consequences. My signature below also represe<br>quired of me. | nts that I have been notified that the type |
|--|---|---|
|  |   |   |
| (Employee Name)  | (Employee Signature)  | (Date)                                      |



#### **Employment Eligibility Verification**

#### **Department of Homeland Security**

U.S. Citizenship and Immigration Services

USCIS Form I-9

OMB No. 1615-0047 Expires 08/31/2019

► START HERE: Read instructions carefully before completing this form. The instructions must be available, either in paper or electronically, during completion of this form. Employers are liable for errors in the completion of this form.

**ANTI-DISCRIMINATION NOTICE:** It is illegal to discriminate against work-authorized individuals. Employers **CANNOT** specify which document(s) an employee may present to establish employment authorization and identity. The refusal to hire or continue to employ an individual because the documentation presented has a future expiration date may also constitute illegal discrimination.

| I am aware that federal law provides for imprisonment and/or fines for false statements or use of false documents in connection with the completion of this form.  I attest, under penalty of perjury, that I am (check one of the following boxes):  1. A citizen of the United States  2. A noncitizen national of the United States (See instructions)  3. A lawful permanent resident (Alien Registration Number/USCIS Number):  4. An alien authorized to work until (expiration date, if applicable, mm/dd/yyyy):  Some aliens may write "N/A" in the expiration date field. (See instructions)  Aliens authorized to work must provide only one of the following document numbers to complete Form I-9:  An Alien Registration Number/USCIS Number OR Form I-94 Admission Number OR Foreign Passport Number.  1. Alien Registration Number/USCIS Number:  OR  2. Form I-94 Admission Number:  OR  3. Foreign Passport Number:  Country of Issuance:  Today's Date (mm/dd/yyyy)  | Section 1. Employee Information than the first day of employment, but not            |  |                          |                            | st complete an | d sign Se                                    | ection 1 c    | of Form I-9 no later        |  |  |
|--|--|--|--------------------------|----------------------------|----------------|--|---------------|-----------------------------|--|--|
| Date of Birth (mm/dd/yyyy)  U.S. Social Security Number  | Last Name (Family Name)  | First Name (Given Name                           | ne)                      |                            | Middle Initial | iddle Initial Other Last Names Used (if any) |               |                             |  |  |
| I am aware that federal law provides for imprisonment and/or fines for false statements or use of false documents in connection with the completion of this form.  I attest, under penalty of perjury, that I am (check one of the following boxes):  1. A citizen of the United States  2. A noncitizen national of the United States (See instructions)  3. A lawful permanent resident (Alien Registration Number/USCIS Number):  4. An alien authorized to work until (expiration date, if applicable, mm/dd/yyyy):  Some aliens may write "N/A" in the expiration date field. (See instructions)  Aliens authorized to work must provide only one of the following document numbers to complete Form I-9:  An Alien Registration Number/USCIS Number OR Form I-94 Admission Number OR Foreign Passport Number.  OR  2. Form I-94 Admission Number:  OR  3. Foreign Passport Number:  OR  3. Foreign Passport Number:  OR  3. Foreign Passport Number:  OR  Today's Date (mm/dd/yyyy)  Preparer and/or Translator Certification (check one):    I did not use a preparer or translator.  | Address (Street Number and Name)   | Apt. Number                                      | Apt. Number City or Town |                            |                |  |               | ZIP Code                    |  |  |
| Lattest, under penalty of perjury, that I am (check one of the following boxes):  1. A citizen of the United States  2. A noncitizen national of the United States (See instructions)  3. A lawful permanent resident (Alien Registration Number/USCIS Number):  4. An alien authorized to work until (expiration date, if applicable, mm/dd/yyyy):  Some aliens may write "N/A" in the expiration date field. (See instructions)  Aliens authorized to work must provide only one of the following document numbers to complete Form I-9:  An Alien Registration Number/USCIS Number OR Form I-94 Admission Number OR Foreign Passport Number.  1. Alien Registration Number/USCIS Number:  OR  2. Form I-94 Admission Number:  OR  3. Foreign Passport Number:  Country of Issuance:  Signature of Employee  Today's Date (mm/dd/yyyy)  Preparer and/or Translator Certification (check one):  1. did not use a preparer or translator.  A preparer(s) and/or translators assist an employee in completing Section 1. (Fields below must be completed and signed when preparers and/or translators assist an employee in completing Section 1.)  Lattest, under penalty of perjury, that I have assisted in the completion of Section 1 of this form and that to the best of my knowledge the information is true and correct. | Date of Birth (mm/dd/yyyy)  U.S. Social Secu   | urity Number Empl                                | oyee's E                 | -mail Addr                 | nail Address   |  |               | Employee's Telephone Number |  |  |
| □ 1. A citizen of the United States □ 2. A noncitizen national of the United States (See instructions) □ 3. A lawful permanent resident (Alien Registration Number/USCIS Number): □ 4. An alien authorized to work until (expiration date, if applicable, mm/dd/yyyy): Some aliens may write "N/A" in the expiration date field. (See instructions)  Aliens authorized to work must provide only one of the following document numbers to complete Form I-9: An Alien Registration Number/USCIS Number OR Form I-94 Admission Number OR Foreign Passport Number.  1. Alien Registration Number/USCIS Number: OR 2. Form I-94 Admission Number: OR 3. Foreign Passport Number: Country of Issuance:  Signature of Employee  Today's Date (mm/dd/yyyy)  Preparer and/or Translator Certification (check one): □ I did not use a preparer or translator. □ A preparer(s) and/or translators assisted the employee in completing Section 1. I attest, under penalty of perjury, that I have assisted in the completion of Section 1 of this form and that to the best of my knowledge the information is true and correct.   | connection with the completion of this fo  | orm.   |                          |                            |                | or use of                                    | false do      | cuments in                  |  |  |
| 2. A noncitizen national of the United States (See instructions)  3. A lawful permanent resident (Alien Registration Number/USCIS Number):  4. An alien authorized to work until (expiration date, if applicable, mm/dd/yyyy):  Some aliens may write "N/A" in the expiration date field. (See instructions)  Alien suthorized to work must provide only one of the following document numbers to complete Form I-9: An Alien Registration Number/USCIS Number OR Form I-94 Admission Number OR Foreign Passport Number.  1. Alien Registration Number/USCIS Number: OR 2. Form I-94 Admission Number: OR 3. Foreign Passport Number: Country of Issuance:  Signature of Employee  Today's Date (mm/dd/yyyy)  Preparer and/or Translator Certification (check one):  [I did not use a preparer or translator. A preparer(s) and/or translators assisted the employee in completing Section 1. (Fields below must be completed and signed when preparers and/or translators assist an employee in completing Section 1.)  I attest, under penalty of perjury, that I have assisted in the completion of Section 1 of this form and that to the best of my knowledge the information is true and correct.  | l attest, under penalty of perjury, that I a   | m (check one of the                              | follow                   | ing boxe                   | s):            |  |               |                             |  |  |
| 3. A lawful permanent resident (Alien Registration Number/USCIS Number):  4. An alien authorized to work until (expiration date, if applicable, mm/dd/yyyy): Some aliens may write "N/A" in the expiration date field. (See instructions)  Aliens authorized to work must provide only one of the following document numbers to complete Form I-9: An Alien Registration Number/USCIS Number OR Form I-94 Admission Number OR Foreign Passport Number.  1. Alien Registration Number/USCIS Number: OR 2. Form I-94 Admission Number: OR 3. Foreign Passport Number: Country of Issuance:    Signature of Employee   Today's Date (mm/dd/yyyy)    Preparer and/or Translator Certification (check one):   I did not use a preparer or translator.   A preparer(s) and/or translator(s) assisted the employee in completing Section 1. (Fields below must be completed and signed when preparers and/or translators assist an employee in completing Section 1.)  I attest, under penalty of perjury, that I have assisted in the completion of Section 1 of this form and that to the best of my knowledge the information is true and correct.   | 1. A citizen of the United States  |  |                          |                            |                |  |               |                             |  |  |
| 4. An alien authorized to work until (expiration date, if applicable, mm/dd/yyyy):  Some aliens may write "N/A" in the expiration date field. (See instructions)  Aliens authorized to work must provide only one of the following document numbers to complete Form I-9: An Alien Registration Number/USCIS Number OR Form I-94 Admission Number OR Foreign Passport Number.  1. Alien Registration Number/USCIS Number: OR 2. Form I-94 Admission Number: OR 3. Foreign Passport Number: Country of Issuance:  Signature of Employee  Today's Date (mm/dd/yyyy)  Preparer and/or Translator Certification (check one):  I did not use a preparer or translator. A preparer(s) and/or translators assisted the employee in completing Section 1.  (Fields below must be completed and signed when preparers and/or translators assist an employee in completing Section 1.)  I attest, under penalty of perjury, that I have assisted in the completion of Section 1 of this form and that to the best of my knowledge the information is true and correct.   | 2. A noncitizen national of the United States  | (See instructions)                               |                          |                            |                |  |               |                             |  |  |
| Some aliens may write "N/A" in the expiration date field. (See instructions)  Aliens authorized to work must provide only one of the following document numbers to complete Form I-9: An Alien Registration Number/USCIS Number OR Form I-94 Admission Number OR Foreign Passport Number.  1. Alien Registration Number/USCIS Number: OR OR 3. Foreign Passport Number: Country of Issuance:  Signature of Employee  Today's Date (mm/dd/yyyy)  Preparer and/or Translator Certification (check one): I did not use a preparer or translator. A preparer's and/or translator's assisted the employee in completing Section 1.  (Fields below must be completed and signed when preparers and/or translators assist an employee in completing Section 1.)  I attest, under penalty of perjury, that I have assisted in the completion of Section 1 of this form and that to the best of my knowledge the information is true and correct.   | 3. A lawful permanent resident (Alien Reg  | gistration Number/USCI                           | S Numbe                  | er):                       |                |  |               |                             |  |  |
| Aliens authorized to work must provide only one of the following document numbers to complete Form I-9: An Alien Registration Number/USCIS Number OR Form I-94 Admission Number OR Foreign Passport Number.  1. Alien Registration Number/USCIS Number: OR 2. Form I-94 Admission Number: OR 3. Foreign Passport Number: Country of Issuance:  Signature of Employee  Today's Date (mm/dd/yyyy)  Preparer and/or Translator Certification (check one): I did not use a preparer or translator. A preparer(s) and/or translators assisted the employee in completing Section 1.  (Fields below must be completed and signed when preparers and/or translators assist an employee in completing Section 1.)  I attest, under penalty of perjury, that I have assisted in the completion of Section 1 of this form and that to the best of my knowledge the information is true and correct.  |  |  |                          |                            |                |  |               |                             |  |  |
| Allens authorized to work must provide only one of the following accument numbers to complete Form 1-9:  An Alien Registration Number/USCIS Number OR Form I-94 Admission Number OR Foreign Passport Number.  1. Alien Registration Number/USCIS Number:  OR  2. Form I-94 Admission Number:  OR  3. Foreign Passport Number:  Country of Issuance:  Signature of Employee  Today's Date (mm/dd/yyyy)  Preparer and/or Translator Certification (check one):  I did not use a preparer or translator.  A preparer(s) and/or translator(s) assisted the employee in completing Section 1.  (Fields below must be completed and signed when preparers and/or translators assist an employee in completing Section 1.)  I attest, under penalty of perjury, that I have assisted in the completion of Section 1 of this form and that to the best of my knowledge the information is true and correct.  | Some aliens may write "N/A" in the expira  | ation date field. (See ins                       | structions               | s)                         |                |  |               |                             |  |  |
| OR 2. Form I-94 Admission Number: OR 3. Foreign Passport Number: Country of Issuance:  Signature of Employee  Today's Date (mm/dd/yyyy)  Preparer and/or Translator Certification (check one): I did not use a preparer or translator. A preparer(s) and/or translator's assisted the employee in completing Section 1.  (Fields below must be completed and signed when preparers and/or translators assist an employee in completing Section 1.)  I attest, under penalty of perjury, that I have assisted in the completion of Section 1 of this form and that to the best of my knowledge the information is true and correct.   | An Alien Registration Number/USCIS Number  | OR Form I-94 Admission                           |                          |                            |                |  | Do            |                             |  |  |
| 2. Form I-94 Admission Number: OR 3. Foreign Passport Number: Country of Issuance:  Signature of Employee  Today's Date (mm/dd/yyyy)  Preparer and/or Translator Certification (check one): I did not use a preparer or translator. A preparer(s) and/or translator(s) assisted the employee in completing Section 1.  (Fields below must be completed and signed when preparers and/or translators assist an employee in completing Section 1.) I attest, under penalty of perjury, that I have assisted in the completion of Section 1 of this form and that to the best of my knowledge the information is true and correct.  | _  |  |                          |                            | _              |  |               |                             |  |  |
| Signature of Employee  Today's Date (mm/dd/yyyy)  Preparer and/or Translator Certification (check one):  I did not use a preparer or translator.  A preparer(s) and/or translator(s) assisted the employee in completing Section 1.  (Fields below must be completed and signed when preparers and/or translators assist an employee in completing Section 1.)  I attest, under penalty of perjury, that I have assisted in the completion of Section 1 of this form and that to the best of my knowledge the information is true and correct.   |  |  |                          |                            | _              |  |               |                             |  |  |
| Signature of Employee  Today's Date (mm/dd/yyyy)  Preparer and/or Translator Certification (check one):  I did not use a preparer or translator.  A preparer(s) and/or translator(s) assisted the employee in completing Section 1.  (Fields below must be completed and signed when preparers and/or translators assist an employee in completing Section 1.)  I attest, under penalty of perjury, that I have assisted in the completion of Section 1 of this form and that to the best of my knowledge the information is true and correct.   |  |  |                          |                            | _              |  |               |                             |  |  |
| Preparer and/or Translator Certification (check one):  I did not use a preparer or translator.  A preparer(s) and/or translator(s) assisted the employee in completing Section 1.  (Fields below must be completed and signed when preparers and/or translators assist an employee in completing Section 1.)  I attest, under penalty of perjury, that I have assisted in the completion of Section 1 of this form and that to the best of my knowledge the information is true and correct.   |  |  |                          |                            | _              |  |               |                             |  |  |
| I did not use a preparer or translator. A preparer(s) and/or translator(s) assisted the employee in completing Section 1.  (Fields below must be completed and signed when preparers and/or translators assist an employee in completing Section 1.)  I attest, under penalty of perjury, that I have assisted in the completion of Section 1 of this form and that to the best of my knowledge the information is true and correct.   | Signature of Employee  |  |                          |                            | Today's Dat    | e (mm/dd/                                    | <i>(yyyy)</i> |                             |  |  |
| knowledge the information is true and correct.   | I did not use a preparer or translator.  (Fields below must be completed and signed) | A preparer(s) and/or tra<br>ed when preparers ar | anslator(s               | inslators a                | assist an empl | oyee in c                                    | ompletin      | g Section 1.)               |  |  |
|  |  |  | comple                   | tion of S                  | ection 1 of th | is form a                                    | and that      | to the best of my           |  |  |
|  |  |  |                          |                            | Today's [      | Date (mm/                                    | dd/yyyy)      |                             |  |  |
| Last Name (Family Name) First Name (Given Name)  | Last Name (Family Name) First Name (   |  |                          | e (Given Name <sub>)</sub> |                |  |               |                             |  |  |
| Address (Street Number and Name)  City or Town  State  ZIP Code  | Address (Street Number and Name)   |  | City or                  | Town                       |                |  | State         | ZIP Code                    |  |  |

Employer Completes Next Page STOP

Form I-9 11/14/2016 N Page 1 of 3



## **Employment Eligibility Verification**

#### **Department of Homeland Security**

U.S. Citizenship and Immigration Services

USCIS Form I-9

OMB No. 1615-0047 Expires 08/31/2019

#### Section 2. Employer or Authorized Representative Review and Verification (Employers or their authorized representative must complete and sign Section 2 within 3 business days of the employee's first day of employment. You must physically examine one document from List A OR a combination of one document from List B and one document from List C as listed on the "Lists of Acceptable Documents.") Citizenship/Immigration Status Last Name (Family Name) First Name (Given Name) M.I. **Employee Info from Section 1** OR List A List B **AND** List C **Identity and Employment Authorization** Identity **Employment Authorization** Document Title Document Title Document Title **Driver's License Social Security Card** Issuing Authority Issuing Authority Issuing Authority Social Security Administration <u>Alabama</u> **Document Number Document Number Document Number** Expiration Date (if any)(mm/dd/yyyy) Expiration Date (if any)(mm/dd/yyyy) Expiration Date (if any)(mm/dd/yyyy) **Document Title** QR Code - Sections 2 & 3 Additional Information Issuing Authority Do Not Write In This Space Document Number Expiration Date (if any)(mm/dd/yyyy) Document Title Issuing Authority **Document Number** Expiration Date (if any)(mm/dd/yyyy) Certification: I attest, under penalty of perjury, that (1) I have examined the document(s) presented by the above-named employee, (2) the above-listed document(s) appear to be genuine and to relate to the employee named, and (3) to the best of my knowledge the employee is authorized to work in the United States. The employee's first day of employment (mm/dd/yyyy): (See instructions for exemptions) Today's Date(mm/dd/yyyy) Title of Employer or Authorized Representative Signaturg Office Manager First Name of Employer or Authorized Representative Last Name of Employer or Authorized Representative Employer's Business or Organization Name Central Alabama Asphalt & ConstructionCompany, LLC Leah Sutton State Employer's Business or Organization Address (Street Number and Name) City or Town ZIP Code 3181 Fosters Ferry Road Tuscaloosa $\mathbf{AL}$ 35401 Section 3. Reverification and Rehires (To be completed and signed by employer or authorized representative.) A. New Name (if applicable) B. Date of Rehire (if applicable) Last Name (Family Name) First Name (Given Name) Middle Initial Date (mm/dd/vyvy) C. If the employee's previous grant of employment authorization has expired, provide the information for the document or receipt that establishes continuing employment authorization in the space provided below. **Document Title Document Number** Expiration Date (if any) (mm/dd/yyyy) I attest, under penalty of perjury, that to the best of my knowledge, this employee is authorized to work in the United States, and if the employee presented document(s), the document(s) I have examined appear to be genuine and to relate to the individual. Signature of Employer or Authorized Representative Today's Date (mm/dd/yyyy) Name of Employer or Authorized Representative

## LISTS OF ACCEPTABLE DOCUMENTS All documents must be UNEXPIRED

Employees may present one selection from List A or a combination of one selection from List B and one selection from List C.

|    | LIST A  Documents that Establish  Both Identity and  Employment Authorization  | OR | LIST B<br>Documents that Establish<br>Identity  | ID | LIST C Documents that Establish Employment Authorization   |
|----|--|----|---|----|--|
|    | U.S. Passport or U.S. Passport Card  Permanent Resident Card or Alien Registration Receipt Card (Form I-551)   |    | Driver's license or ID card issued by a State or outlying possession of the United States provided it contains a photograph or information such as name, date of birth, gender, height, eye   | 1. | A Social Security Account Number card, unless the card includes one of the following restrictions:  (1) NOT VALID FOR EMPLOYMENT                           |
| 3. | Foreign passport that contains a temporary I-551 stamp or temporary I-551 printed notation on a machine-readable immigrant visa  |    | color, and address  2. ID card issued by federal, state or local government agencies or entities, provided it contains a photograph or  |    | <ul><li>(2) VALID FOR WORK ONLY WITH<br/>INS AUTHORIZATION</li><li>(3) VALID FOR WORK ONLY WITH<br/>DHS AUTHORIZATION</li></ul>                            |
| 4. | Employment Authorization Document that contains a photograph (Form I-766)  |    | information such as name, date of birth, gender, height, eye color, and address   | 2. | Certification of Birth Abroad issued<br>by the Department of State (Form<br>FS-545)  |
| 5. | For a nonimmigrant alien authorized to work for a specific employer because of his or her status:  |    | 3. School ID card with a photograph 4. Voter's registration card 5. U.S. Military and an definition of the second | 3. | Certification of Report of Birth issued by the Department of State (Form DS-1350)  |
|    | <ul><li>a. Foreign passport; and</li><li>b. Form I-94 or Form I-94A that has the following:</li><li>(1) The same name as the passport;</li></ul>   |    | U.S. Military card or draft record     Military dependent's ID card     U.S. Coast Guard Merchant Mariner Card  | 4. | Original or certified copy of birth certificate issued by a State, county, municipal authority, or territory of the United States bearing an official seal |
|    | and (2) An endorsement of the alien's  |    | 8. Native American tribal document  | 5. | Native American tribal document  |
|    | nonimmigrant status as long as that period of endorsement has  |    | Driver's license issued by a Canadian government authority  | 6. | U.S. Citizen ID Card (Form I-197)  |
|    | not yet expired and the proposed employment is not in conflict with any restrictions or limitations identified on the form.  |    | For persons under age 18 who are unable to present a document listed above:   | 7. | Identification Card for Use of<br>Resident Citizen in the United<br>States (Form I-179)  |
| 6. | Passport from the Federated States of Micronesia (FSM) or the Republic of the Marshall Islands (RMI) with Form I-94 or Form I-94A indicating nonimmigrant admission under the Compact of Free Association Between the United States and the FSM or RMI |    | 10. School record or report card  11. Clinic, doctor, or hospital record  12. Day-care or nursery school record   | 8. | Employment authorization document issued by the Department of Homeland Security  |

Examples of many of these documents appear in Part 8 of the Handbook for Employers (M-274).

Refer to the instructions for more information about acceptable receipts.

Form I-9 11/14/2016 N Page 3 of 3

**Employee's Withholding Certificate** 

Complete Form W-4 so that your employer can withhold the correct federal income tax from your pay. Give Form W-4 to your employer.

OMB No. 1545-0074

| Department of the Treasury       |              | Give Form W-4 to your employer.  |                               |                                   | <u> </u>   |
|----------------------------------|--------------|--|-------------------------------|-----------------------------------|--|
| Internal Revenue Se              |              | Your withholding is subject to review by the I   | RS.                           |                                   |  |
| Step 1:                          | (a) F        | irst name and middle initial Last name   |                               | (b) S                             | ocial security number  |
| Enter<br>Personal<br>Information | Addre City o | r town, state, and ZIP code  |                               | name<br>card?<br>credit<br>contac | your name match the<br>on your social security<br>If not, to ensure you get<br>for your earnings,<br>ct SSA at 800-772-1213<br>to www.ssa.gov. |
|                                  | (c)          | Single or Married filing separately  |                               | or go t                           | o www.ssa.gov.   |
|                                  | (0)          | Married filing jointly or Qualifying surviving spouse  |                               |                                   |  |
|                                  |              | Head of household (Check only if you're unmarried and pay more than half the costs   | s of keeping up a home for yo | urself ar                         | nd a qualifying individual.  |
|                                  |              | 4 ONLY if they apply to you; otherwise, skip to Step 5. See page m withholding, other details, and privacy.  | 2 for more informatio         | n on e                            | ach step, who can  |
| Step 2:                          |              | Complete this step if you (1) hold more than one job at a time, or also works. The correct amount of withholding depends on incomplete this step if you (1) hold more than one job at a time, or (1) also works. |                               |                                   |  |
| Multiple Job<br>or Spouse        | JS           | Do only one of the following.  |                               | ,-                                |  |
| Works                            |              | (a) Reserved for future use.   |                               |                                   |  |
|                                  |              | (b) Use the Multiple Jobs Worksheet on page 3 and enter the rest   | ult in Step 4(c) below:       | or                                |  |
|                                  |              | (c) If there are only two jobs total, you may check this box. Do the option is generally more accurate than (b) if pay at the lower p higher paying job. Otherwise, (b) is more accurate                         | e same on Form W-4 f          | or the                            |  |
|                                  |              | TIP: If you have self-employment income, see page 2.   |                               |                                   |  |
|                                  |              | <b>4(b) on Form W-4 for only ONE of these jobs.</b> Leave those steps you complete Steps 3–4(b) on the Form W-4 for the highest paying   |                               | s. (You                           | ur withholding will  |
| Step 3:                          |              | If your total income will be \$200,000 or less (\$400,000 or less if m   | arried filing jointly):       |                                   |  |
| Claim                            |              | Multiply the number of qualifying children under age 17 by \$2,0   | 000 \$                        | -                                 |  |
| Dependent and Other              |              | Multiply the number of other dependents by \$500   | . \$                          | -                                 |  |
| Credits                          |              | Add the amounts above for qualifying children and other depend this the amount of any other credits. Enter the total here  | lents. You may add to         |                                   | \$   |
| Step 4<br>(optional):<br>Other   |              | (a) Other income (not from jobs). If you want tax withheld expect this year that won't have withholding, enter the amount This may include interest, dividends, and retirement income                            | of other income here          |                                   | ) \$   |
| Adjustments                      |              | (b) Deductions. If you expect to claim deductions other than the swant to reduce your withholding, use the Deductions Worksheethe result here  |                               | ·                                 | N &  |
|                                  |              |  |                               | 4(b)                              | 1 2  |
|                                  |              | (c) Extra withholding. Enter any additional tax you want withheld  | each <b>pay period</b>        | 4(c)                              | )  \$  |
| Step 5:<br>Sign<br>Here          | Unde         | er penalties of perjury, I declare that this certificate, to the best of my knowle   | dge and belief, is true, co   | orrect, a                         | and complete.  |
|                                  | Em           | ployee's signature (This form is not valid unless you sign it.)  | Da                            | te                                |  |
| Employers<br>Only                | Empl         | oyer's name and address  |                               | Employ<br>numbe                   | yer identification<br>r (EIN)  |
|                                  |              |  |                               | 20-39                             | 75973  |

#### Alabama Department of Revenue



50 North Ripley Street • Montgomery, AL 36104 • InfoLine (334) 242-1300

www.revenue.alabama.gov



## Employee's Withholding Tax Exemption Certificate

Every employee, on or before the date of commencement of employment, shall furnish his or her employer with a signed Alabama with-holding exemption certificate relating to the number of withholding exemptions which he or she claims, which in no event shall exceed the number to which the employee is entitled. In the event the employee inflates the number of exemptions allowed by this Chapter on Form A4, the employee shall pay a penalty of five hundred dollars (\$500) for such action pursuant to Section 40-29-75.

| EMPLOYEE NAME                                       |  | EMPLOYEE SOCIAL         | L SECURITY NUMBER |
|---|--|-------------------------|-------------------|
|   |  |                         |                   |
| TREET ADDRESS                                       | CITY   | STATE                   | ZIP CODE          |
| Н   | HOW TO CLAIM YOUR WITHHOLDING EXEMPT                               | ONS                     |                   |
| . If you claim no personal exemption for yo         | ourself and wish to withhold at the highest rate, write the figure | e "O",                  |                   |
| sign and date Form A4 and file it with yo           | our employer   |                         |                   |
| If you are SINGLE or MARRIED FILING                 | SEPARATELY, a \$1,500 personal exemption is allowed.               |                         |                   |
|   | E exemption or "MS" if claiming the MARRIED FILING SEPAF           |                         |                   |
| If you are MARRIED or SINGLE CLAIMI                 | ING HEAD OF FAMILY, a \$3,000 personal exemption is allowed        | d.                      |                   |
| •   | n exemption for both yourself and your spouse or "H" if you are    |                         |                   |
|   | re claiming the HEAD OF FAMILY exemption                           |                         |                   |
| . , ,   | use) that you will provide more than one-half of the support for   |                         |                   |
| the year. See dependent qualification be            | elow   |                         |                   |
| . Additional amount, if any, you want dedu          | ucted each pay period  |                         | \$                |
| This line to be completed by your empleted          | ployer: Total exemptions (example: employee claims "M" on lir      | ne 3 and                |                   |
| "2" on line 4. Employer should use colum            | nn M-2 (married with 2 dependents) in the withholding tables).     |                         |                   |
| Inder penalties of perjury, I certify that omplete. | t I have examined this certificate and to the best of my k         | nowledge and belief, it | is true, correct, |
| mployee's Signature                                 |  | Date                    |                   |
| Part II – To be completed by the                    | employer   |                         |                   |
| MPLOYER NAME  | EMPLOYER IDENTI  | FICATION NUMBER (       |                   |
| Central Alabama Asphalt & (                         |  | 20-3975                 |                   |
|   | CITY   | STATE                   | ZIP CODE          |
| DDRESS<br>. O. Box 20766                            | Tuscaloosa   | AL                      | 35402             |

Employers are required to keep this certificate on file. If the employee is believed to have claimed more exemption than legally entitled or claims 8 or more dependent exemptions, the employer should contact the Department at the following address or phone number for verification: Alabama Department of Revenue, Withholding Tax Section, P.O. Box 327480, Montgomery, AL 36132-7480, by phone at (334) 242-1300, or by fax at (334) 242-0112. If the employee does not qualify for the exemptions claimed upon verification, the employer is required to withhold at the highest rate until the employee submits a corrected Form A4 reflecting the proper exemption they are entitled to claim.

**DEPENDENTS:** To qualify as your dependent (Line 4 above), a person must receive more than one-half of his or her support from you for the year and must be related to you as follows:

Your son or daughter (including legally adopted children), grandchild, stepson, stepdaughter, son-in-law, or daughter-in-law;

Your father, mother, grandparent, stepfather, stepmother, father-in-law, or mother-in-law;

Your brother, sister, stepbrother, stepsister, half-brother, half-sister, brother-in-law, or sister-in-law;

Your uncle, aunt, nephew, or niece (but only if related by blood).

## **DIRECT DEPOSIT AUTHORIZATION**

SHOULD YOU DECIDE TO PARTICIPATE, THIS FORM MUST BE TURNED IN BY MONDAY IN ORDER TO PROCESS YOUR PAYCHECK NEXT WEEK.

Please print and complete ALL the information below.

| Name:  |                                     |                               |  |   |
|--|-------------------------------------|-------------------------------|--|---|
| Address:   |                                     |                               |  |   |
| City, State, Zip:  |                                     |                               |  |   |
| Email Address:   |                                     |                               | o receive copy of check stub)  |   |
| Phone #:   |                                     |                               |  |   |
|  | 9 digit A<br>Routing I              | CCOUNT Number 17 digits)      | Date:  S Dollars  Check Number (do not include)  |   |
| Name of Bank:  |                                     |                               |  |   |
| Account #:   |                                     | 9-Digit R                     | Routing #:   |   |
| Amount:  | □ \$                                | _ □                           | % or $\square$ Entire Paycheck   |   |
| Type of Account:   | ☐ Checking                          | ☐ Sav                         | vings (Check One)  |   |
| FUNDS SHO  | OULD BE DEPOSI<br>CENTRAL ALABA     | TED. IF A VO<br>MA ASPHALT    | CKET FOR EACH BANK ACCOUNT TO WHICH OIDED CHECK OR DEPOSIT TICKET IS NOT IT WILL NOT BE RESPONSIBLE IF FUNDS ARE NCORRECT ACCOUNT. |   |
| CENTRAL ALABA directly deposit my particular cancel it in writing. | AMA ASPHALT & pay to the account li | t CONSTRUC<br>sted above. Thi | CTION COMPANY, LLC is hereby authorized to a authorization will remain in effect until I modify or                                 |   |
| Employee's Signatu   | re:                                 |                               | Date:  | _ |

